Dopkins | WEALTH MANAGEMENT, LLC
Registered Investment Advisor

VALUE PROPOSITION

Satisfying client needs with firm integrity, individual service, academically and evidence-based investment philosophy and fiduciary standards.

A FEE-ONLY REGISTERED INVESTMENT ADVISOR PROVIDING A FIDUCIARY STANDARD OF CARE

- We are obligated to place our clients' best interests first and foremost under the Investment Advisers Act of 1940.

INDEPENDENT

- Not affiliated with any brokerage, insurance company or mutual fund family
- Accepting no third-party compensation

PORTFOLIO CONSTRUCTION BASED EVIDENCE BASED RESEARCH

- Strategic asset allocation incorporating the tenets of Modern Portfolio Theory, Capital Asset Pricing Model and Three-Factor Modeling
- Incorporating Small Cap and value tilts on portfolios
- Risk tolerance assessment and creation of investment policy statement
- Focus on tax efficiency
- Periodic, disciplined re-balancing using tolerance ranges
- Separate account management for fixed income portfolios

INSTITUTIONAL CLASS MUTUAL FUNDS (WITHIN MANAGED ACCOUNTS)

- Evidence-based, asset class investing
- No-load, no 12(b)1 fees
- Low portfolio turnover
- Low operating expenses and transaction costs
- Tax-managed funds when appropriate
- Dimensional Fund Advisors, Vanguard, Bridgeway

Build. Protect. Transfer Wealth. With Dopkins.

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www.dopkinswealthmanagement.com

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CLIENT PLANNING SERVICES

INVESTMENT PLANNING

PORTFOLIO CONSTRUCTION

- Current portfolio analysis
- Assessing the ability, willingness and need to take risk
- Determining appropriate asset location: Taxable vs. Tax-deferred
- Bond portfolio management services

PORTFOLIO MANAGEMENT

- Rebalancing
- Tax Management
- Performance Tracking

PLANNING FOR LARGE CAPITAL COMMITMENTS

EDUCATION PLANNING

- Selecting appropriate funding vehicles
- Payment strategies: transfer of assets, financing
- Determining appropriate asset allocation

RETIREMENT PLANNING

- Selecting appropriate funding vehicles
- Retirement analysis using Monte Carlo simulation
- Employee benefit decisions: maximizing employer-sponsored plans

MAJOR PURCHASES

- Funding and finance decisions

RISK MANAGEMENT:
LIFE, DISABILITY, LONG-TERM CARE AND PROPERTY & CASUALTY INSURANCES

- **NEEDS ASSESSMENT**
- **REVIEW OF EXISTING COVERAGE**

ESTATE PLANNING, WEALTH TRANSFER & WEALTH PROTECTION

- **DETERMINING THE APPROPRIATE STRATEGY AND VEHICLES**
- **CHARITABLE GIFT PLANNING**

FOR MORE INFORMATION, PLEASE CONTACT:

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